

DFW Institutional Investment FORUM
March 16, 2021 Panel Discussion
Security Selection

Topic Title: “**Ensemble approach to Security Selection**”

Moderator: John S. Shanklin, NEPC

Panelist: Lee Schneider, Monomoy Point Capital Advisors, LLC

Panelist: James E. Francis, Paradigm Asset Mgt

John S. Shanklin, CFA, CAIA - Senior Research Consultant, NEPC, LLC

Mr. Shanklin is a Senior Research Consultant with NEPC, a leading investment consulting firm. He joined NEPC in 2006; he has worked with institutional investors since 1983. He is a member of NEPC’s Public Markets research team and works with clients. He is a veteran of the United States Marine Corps. John earned a Masters in Management degree from Northwestern University’s Kellogg Graduate School of Management and a B.S. in Biology from the University of Illinois. John is a CFA charter holder and a Chartered Alternative Investment Analyst (CAIA) designee. He a board member for the CFA Society of Nevada.

Lee Schneider - Director Consultant Relations, Monomoy Point Capital Advisors, LLC

Mr. Schneider has over 35 years of Institutional Sales and Service experience in health & welfare and investment advisory. Prior to joining MPC, Lee served as Regional Sales Director of Definitive Capital Management. There, with Monomoy Point Founder Bob Potvin, he facilitated sales growing the fund soon after its’ launch to its’ successful close in excess of \$1.5 Billion in aum. Previously, Lee served as First Vice President for BNY Mellon’s Asset Management Taft-Hartley Group. Additionally, Lee was a managing partner at Pacific Dental Benefits and National Vice President of Delta Dental Plan of California. Lee has chaired National and Local charities including the Leukemia & Lymphoma Society and Ronald McDonald House of San Francisco. He serves as an advisor to the Loveall Foundation for Children and the investment committee for the Leukemia & Lymphoma Society. Lee concluded his studies in Business Administration at Troy State University in Troy, Alabama.

James E. Francis - President & Chief Executive Officer, Paradigm Asset Management Company

Mr. Francis, Founder and CEO, is responsible for the oversight and development of Paradigm’s innovate data science approach to investing. Under Mr. Francis' leadership Paradigm introduced the industry's first 100% big data strategies. In addition, he pioneered the use holdings data to create a multi-expert stock ranking process, AlphaMax. He has been active on the speaking circuit worldwide throughout his career taking on such topics as Big Data, Artificial Intelligence, Machine Learning, 130/30, portable alpha, style management, as well as the importance of diversity and inclusion in the finance industry.

Prior to founding Paradigm Asset Management Company, Mr. Francis was responsible for the development of an in-house business unit for Oppenheimer and Company designed to serve the needs of institutional investors. Previously, Mr. Francis was an executive with Shearson Lehman Hutton where he worked with the Consulting Services Division providing asset management consulting to the private and public markets. Mr. Francis started his career at IBM Corporation in the National Accounts Division where he consulted with select fortune 100 companies on large scale enterprise solutions.

Mr. Francis received his B.A. from Morehouse College. He has served on the board of Council of Urban Professionals (Chair Emeritus), Community Financial Resource Center Corporate Leadership Board, Arts Connection, Wall Street Project, and the C.J. Francis Foundation. He is a member of the New York City Partnership, National Association of Securities Professionals, NY-NASP, New American Alliance, the Council of Institutional Investors, and the National Council on Teacher Retirement (NCTR).